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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.		361007-000036	
		First Inventor		H rr, Daniel J seph Christian	
		Title		SUPERMOLECULAR STRUCTURES AND DEVICES MADE FROM SAME	
		Express Mail Label No.		EL 991559264US	
APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application contents.				ADDRESS TO: Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<div><div><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p><p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p><p>3. <input checked="" type="checkbox"/> Specification [Total Pages: <u>33</u>] (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p><p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: <u>14</u>]</p><p>5. Oath or Declaration [Total Pages: <u>3</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></div><div><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p></div></div>					
ACCOMPANYING APPLICATION PARTS					
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: Check in the amount of \$856.00</p>					
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/510,802</p> <p>Prior application information: Examiner: <u>Jerome Jackson, Jr.</u> Group Art Unit: <u>2815</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>					
19. CORRESPONDENCE ADDRESS					
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Country		US	Telephone	919-286-8000	Fax 919-416-8324
Name		Steven B. Phillips		Registration No. (Attorney/Agent)	37,911
Signature				Date	OCT 13 2003

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete if Known

Application Number

Filing Date

First Named Inventor

Herr, Daniel Joseph Christian

Examiner Name

Group Art Unit

Attorney Docket No.

361007-000036

TOTAL AMOUNT OF PAYMENT

(\$856.00)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 13-4365

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	770	Utility filing fee	770.00
		106	340	Design filing fee	
		107	530	Plant filing fee	
		108	770	Reissue filing fee	
		114	160	Provisional filing fee	

SUBTOTAL(1) (\$770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20**= 0 X		
Independent 4	-3**= 1 X	86	86.00
Claims			86.00

Multiple Dependent

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	Claims in excess of 20	
		102	86	Independent claims in excess of 3	
		104	290	Multiple dependent claims, if not paid	
		109	86	** Reissue independent claims over original patent	
		110	18	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL(2) (\$856.00)

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for reply within first month	
116	216	420	210	Extension for reply within second month	
117	217	950	475	Extension for reply within third month	
118	218	1,480	740	Extension for reply within fourth month	
128	228	2,010	1,005	Extension for reply within fifth month	
119	219	330	165	Notice of Appeal	
120	220	330	165	Filing a brief in support of an appeal	
121	221	290	145	Request for oral hearing	
138	138	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidable	
141	241	1,330	665	Petition to revive - unintentional	
142	242	1,330	665	Utility issue fee (or reissue)	
143	243	480	240	Design issue fee	
144	244	640	320	Plant issue fee	
122	122	130	130	Petitions to the Commissioner	
123	123	50	50	Processing fee under 37 CFR 1.17(q)	
126	126	180	180	Submission of Information Disclosure Stmt	
581	581	40	40	Recording each patent assignment per property (times number of properties)	
146	246	770	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	770	385	For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	770	385	Request for Continued Examination (RCE)	
169	169	900	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$)

SUBMITTED BY

Name (Print Type)
(Attorney/Agent)

Steven B. Phillips

Registration No.

37,911

Complete (if applicable)

Telephone

919-286-8000

Signature

Steven B. Phillips

Date

OCT 13 2003

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EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NUMBER: EL 991559264US

Date of Deposit: October 1~~6~~⁴, 2003

First Named Inventor: Herr, Daniel Joseph Christian


For: SUPERMOLECULAR STRUCTURES AND DEVICES MADE FROM SAME

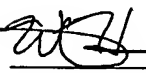
I hereby certify that the following documents:

Express Mail Certificate; and

1. Patent Application Transmittal (PTO/SB/05)
2. Fee Transmittal (PTO/SB/17)
3. Amendment Accompanying Transmittal
4. Copy of prior application consisting of: 33 pages of specs., 14 pages of drawings, 2 page declaration)
5. Information Disclosure Statement, PTO/Form/SB08
6. Check in the amount of \$856.00
7. Acknowledgement Postcard

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(Name of Person Mailing Documents)


(Signature of Person Mailing Documents)